

## DATA LOAD SPECIFICATIONS

FOR

Skills Development Providers (SDP's)

AND ASSESSMENT CENTRES (AC'S) ON THE

POPULATION AND SUBMISSION OF THE LEARNER ENROLMENT AND READINESS FOR EISA FILE

# SUBMISSION OF LEARNER ENROLMENTS GUIDELINE FOR SDP'S AND AC'S

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#### VERSION CONTROL

POLICY NAME	DATA LOAD SPECIFICATIONS FOR SDP's and AC's
<b>RESPONSIBLE ENTITY</b>	QCTO
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## Approved by:

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Date:	Date:	Date:

## 1 OVERVIEW

The information contained in this document has the objective of allowing Skills Development Providers (SDP's) or Assessment Centres (AC's) in need of submitting learner data to the QCTO. In doing so, the SDP or AC will be able to supply the QCTO with the electronic information with regard:

- 1. Learner basic information
- 2. Learner qualification information and
- 3. Readiness for the External Integrated Summative Assessment (EISA)
- 4. Mode of Achievement (Training or RPL)

It is of the utmost importance that the criteria contained in this document is followed to the letter, as the criteria described here is applied during the upload and importing process at QCTO certification level. By not complying 100% with the criteria listed in this document will result in your submission not being processed, therefore resulting in a rejection of your submission accordingly and learners not allowed for the EISA and certificated.

It must further be understood that it is not the responsibility of the QCTO to fix any data on the behalf of the SDP or AC in trying to process learner data submissions. This would be in direct breach of the mandate the QCTO is playing in the process.

It must further be understood that by making use of the learner data submission process, the Skills Development Provider or Assessment Centre must have, prior to submission, have received and apply the following in the Learner Data File:

Its <u>accreditation/approval status as a SDP or Assessment Centre</u> with the key focus on:

- 1. The overall accreditation period being a SDP and or Assessment Centre. (accreditation start and end date)
- 2. The overall approval period being an Assessment Partner.
- 3. The actual focuses of accreditation (for what the SDP and assessment centre being accredited for), inclusive of the actual accreditation start and end date for each of the qualification/s the SDP and or assessment centre might be accredited for.

In essence, when the SDP or AC is submitting Learner Data to the QCTO, the Date of capturing or achievement or reporting will only be valid if it is within the Accreditation Period

## 2 NAMING CONVENTION OF LEARNER ENROLMENT AND READINESS FOR EISA FILE

The Learner Enrolment and Readiness for EISA file must meet the following naming convention before submitting to the QCTO: LEISAyyyymmdd-SDP/AC name

- The "LEISA" will always be the start of the naming convention
- The "yyyymmdd" will be the date that the file was updated
- The "SDP/AC name" will be the name of the institution as per the Accreditation letter

## **3 INSTRUCTIONS AND GUIDELINES**

This section will provide specific instructions with regards to the following

- 1. Submission Process
- 2. File Layouts
- 3. Symbol classification and meanings
- 4. Acronyms and meanings contained within the File

#### 3.1 SUBMISSION PROCESS

The following sequence needs to be adhered to during your submission of learner data and EISA information to the QCTO:

- i. Learner data submission must be made 21 days after learner enrolments are done at the accredited SDP
- ii. Learner data submissions indicating EISA readiness must be done three months in advance of the scheduled EISA to allow learners to enter for the EISA
- iii. The Assessment Quality Partner must submit the EISA results in file 03 format as per timeframes agreed to with the assessment partner and in line with the QCTO policies and guidelines.

iv. Learner enrolment and readiness for EISA files must be supplied via an E-Mail attachment to QCTO email address: <u>learnerenrolments@qcto.org.za</u>

#### 3.2 FILE LAYOUTS

The File is in Excel format type and should be submitted only in Excel. SDP's or AC's must not convert the File to any other format such as PDF etc. when submitted to the QCTO.

Further to this, the File has fixed column headings and a specific order.

No columns may be deleted or moved in the sheet to allow for QCTO uploading of learner enrolment data to its learner enrolments <u>system.</u>

#### 3.3 SYMBOL CLASSIFICATION AND MEANING

You will observe the following symbols within the <u>"Require"</u> column in the tables to follow.

Criteria	Explanation
Conditional	Conditional requirement in field
Conditional – Assessment Partner	Conditional requirement in field to be supplied only by the Assessment Partner
Yes - SDP	Required field when SDP supply data (Data must be provided)
Yes - Assessment Partner	Required field when ASSESSMENT PARTNER supply data (Data must be provided)
No	Not required field (Field may be left blank)

#### 3.4 ACRONYMS AND MEANINGS CONTAINED WITHIN THE FILE

CD	Compact Disc		
EISA	External Integrated Summative Assessment		
FAL First Additional Language			
HL	Home Language		
ID	National Identity Document		
MIS	Management Information System		
NCV National Certificate (Vocational)			

NLRD National Learners' Records Database					
NQF	National Qualifications Framework				
NSC	National Senior Certificate				
OFO	Organising Framework for Occupations				
OQSF	Occupational Qualifications Sub-Framework				
POPI Act	Protection of Personal Information Act				
QAS	Qualification Assessment Specification				
QCTO	Quality Council for Trades and Occupations				
RPL	Recognition of Prior Learning				
RSA	Republic of South Africa				
SAQA	South African Qualifications Authority				
SC	Senior Certificate				
SDP	Skills Development Provider				
STATSSA	Statistics South Africa				

## 4 POPULATING THE LEARNER ENROLMENT AND READINESS FOR EISA FILE

This section contains specification details on what is required for each column on the Learner Enrolment and Readiness for EISA File.

File name convention: LEISAyyyymmdd-SDP/AC name

All data in the Excel sheet must be entered as TEXT. No formatting to %, General, custom, dates numbers etc is allowed.

#### Please note:

The Assessment Partner can only provide EISA results to the QCTO if the information was received in **Learner enrolment and** readiness for EISA (LEISAyyyymmdd-SDP name) from the QCTO.

i.e. Learner final results and subsequent certification can only take place if the SDP/AC has submitted the Learner Enrolment and readiness for EISA file to the QCTO timeously.

Field Name	Туре	Column A	Require	
SDP Code	TEXT		Yes – QCTO	
SDP code refers to a unique	Conditions for	compliance		
code (Not accreditation Number)				
provided to the SDP by a QCTO Where RPL for compliance with SOR and for readiness to EISA is done by the Assessment Partner				
Official. The Accreditation then the Assessment Partner code is used in the SDP code field.			e SDP code field.	
Number may be used only when	Number may be used only when 1. Each record will be associated with a SDP code.			
there is no code for the SDP 2. The first character of the data value is a valid, non-space character				

Field Name	Туре		Column B	Require
Qualification Id	Text			Yes –SDP
	<ol> <li>The value</li> <li>Comply accredit</li> </ol>	character of th ue given: with the actual ed on the QC	Qualification ID for TO MIS system.	alid, non-space character mat for which the SDP and Assessment Centre is on registered on the OQSF of the NQF

Field Name	Туре		Column C	Require		
National Id	Text			Yes - QCTO		
	Conditions for compliance					
	1. The data supplied contains numerical values only and has a length of exactly 13 characters					

Field Name	Туре		Column D	Require	
Learner Alternate ID	TEXT			Yes - QCTO	
	Conditions for	Conditions for compliance			
		If a <u>National ID is not available</u> , an Alternate ID like a passport number together with the Learner Last Name will form the unique identifier.			

Field Name	Туре	Column E	Require
Alternative Id Type	Text		Yes – QCTO

Allowed codes	Conditions for compliance					
<ul> <li>527 = Passport Number or</li></ul>	<ol> <li>The first character of the data value is a valid, non-space character</li> <li>If the National ID field contains data and the Learner Alternate ID is blank, then the Alternative</li></ol>					
Foreign ID Number <li>533 = None</li> <li>565 = Refugee Number</li> <li>538 = Work Permit Number</li> <li>540 = Birth Certificate number</li> <li>570 = Change from Alternate</li>	ID Type field will code 533. <li>If the National ID field is blank and the Learner Alternate ID contains data, then 533 will not be</li>					
ID to National ID	allowed.					

Field Name	Туре		Column F	Require		
Equity Code	Text Yes – QCTO					
Allowed codesBA= Black AfricanBC= ColouredBI= Indian/AsianOth= OtherU= UnknownWh=White	Conditions for a		he data value is a v	valid, non-space character		

Field Name	Туре		Column G	Require		
Nationality Code	Text			Yes – QCTO		
Allowed codes	Conditions for compliance					
U = Unspecified SA = South Africa SDC = SADC except SA (i.e. NAM to ZAI) NAM = Namibia	1. The first character of the data value is a valid, non-space character					

BOT	= Botswana	
ZIM	= Zimbabwe	
ANG	= Angola	
MOZ	= Mozambique	
LES	= Lesotho	
SWA	= Swaziland	
MAL	= Malawi	
ZAM	= Zambia	
MAU	= Mauritius	
TAN	= Tanzania	
SEY	= Seychelles	
ZAI	= Zaire	
ROA	= Rest of Africa	
EUR	= European countries	
AIS	= Asian countries	
NOR	= North American	
	countries	
SOU	= Central and South	
	American countries	
AUS	= Australia Oceania	
	countries	
000	= Other and rest of	
	Oceania	
NOT	= N/A: Institution	

Field Name	Туре		Column H	Require	
Home Language Code	Text			Yes – QCTO	
Allowed codes	Conditions for compliance				
Eng = English Afr = Afrikaans Oth = Other SASL = South African Sign Language Sep = sePedi [also known as Northern Sotho / Sesotho sa Lebowa] Ses = seSotho Set = seTswana Swa = siSwati Tsh = tshiVenda Xho = isiXhosa Xit = xiTsonga Zul = isiZulu Nde = isiNdebele	1. Th	e first character of	the data value is	a valid, non-space character	

Field Name	Туре		Column I	Require		
Gender Code	Text			Yes – QCTO		
Allowed codes	<u>Conditio</u>	Conditions for compliance				
M = Male F = Female				a valid, non-space character cator defined in the National ID number.		

Field Name	Туре		Column J	Require	
Citizen Resident Status Code	Text			Yes – QCTO	
Allowed codes	Conditions for compliance				
SA = South Africa O = Other D = Dual (SA plus other) PR = Permanent Resident U = Unknown	1. The first character of the data value is a valid, non-space character				

Field	Name	Туре		Column K	Require			
Socio	economic Status Code	Text			Yes – QCTO			
Allov	wed codes	Conditions	Conditions for compliance					
01 02	=Employed =Unemployed,	1. The first character of the data value is a valid, non-space character						
03	looking for work =Not working – not looking for work							
04	=Home-maker (not working)							
06	=Scholar/student (not working)							
07	=Pensioner /retired (not working)							
08	=Not working – disabled person							
09	=Not working – not wishing to work							
10	=Not working – Not elsewhere classified							
97 98	=N/A: Aged <15 =N/A: Institution							
U	Unspecified							

Field	Name	Туре		Column L	Require			
Disat	pility Status Code	Text			Yes – QCTO			
Allow	ved codes	<b>Conditions</b>	Conditions for compliance					
N 01 02 03 04 05 06 07 09	None Sight (even with glasses) Hearing (even with a hearing aid) Communication (talking, listening) Physical (moving, standing, grasping) Intellectual (difficulties in learning); retardation Emotional (behavioural or psychological) Multiple Disabled but Unspecified			f the data value is	a valid, non-space character			

Field Name	Туре	Column M	Require
Disability Rating	Text		Yes – QCTO
Allowed codes 01 = No difficulty 02 = Some difficulty 03 = A lot of difficulty 04 = Cannot do at all 06 = Cannot yet be determined 60 = May be part of multiple difficulties (TBC) 70 = May have difficulty (TBC) 80 = Former difficulty - none now	1. This		09 is selected in the Disability Status Code field a valid, non-space character

Field Name	Туре		Column N	Require	
Immigrant Status	Text			Yes – QCTO	
Allowed codes	Conditions for compliance				
01 = Immigrant 02 = Refugee 03 = SA Citizen	1. The first character of the data value is a valid, non-space character				

Field Name	Туре		Column O	Require		
Learner Last Name	Text			Yes – QCTO		
	Conditions for compliance					
	1. The first character of the data value is a valid, non-space character					
	The data value may contain characters that exist in the following range of characters: abcdefghijkImnopqrstuvwxyzABCDEFGHIJKLMNOPQRTSUVWXYZ' – ^ " ``					

Field Name	Туре		Column P	Require		
Learner First Name	Text			Yes – QCTO		
	Conditions for compliance					
	The data	ne first character of the data value is a valid, non-space character data value may contain characters that exist in the following range of characters fghijkImnopqrstuvwxyzABCDEFGHIJKLMNOPQRTSUVWXYZ' – ^				

Field Name	Туре		Column Q	Require
Learner Middle Name	Text			No
	Conditions fo	r compliance		
	The data	value may c	ontain characters	valid, non-space character s that exist in the following range of characters: LMNOPQRTSUVWXYZ' – ^

Field Name	Туре		Column R	Require
Learner Title	Text			Yes – QCTO
Allowed codes	Conditions fo	r compliance		
Mr Mrs Ms Miss Dr Prof	1. The fi	rst character of th	e data value is a v	valid, non-space character

Field Name	Туре		Column S	Require
Learner Birth Date	Text			Yes – QCTO
	2. The fo	rst character of th ormat of the Date		valid, non-space character as YYYYMMDD. Please note that this is not a date

Field Name	Туре		Column T	Require	
Learner Home Address 1	Text			Yes – QCTO	
	Conditions fo	r compliance			
	<ol> <li><u>Conditions for compliance</u></li> <li>The learner home address refers to the address used by the learner as enrolled at the SDP and not the learner home address of the parent. All learners offer the qualifications residing in Sout Africa and it must be a valid address in SA.</li> <li>Where the learner does not have a home address, the SDP can use its address.</li> <li>The first field for the home address CANNOT only contain a number but must refer to the street number and name e.g. 471 Frances Baard street</li> <li>The first character of the data value is a valid, non-space character</li> <li>The data value may contain characters that exist in the following range of characters: abcdefghijklmnopqrstuvwxyzABCDEFGHIJKLMNOPQRTSUVWXYZ - 1234567890#&amp;()/\:',</li> </ol>				

Field Name	Туре		Column U	Require	
Learner Home Address 2	Text			Yes – QCTO	
	Conditions for compliance				
	<ol> <li>The first character of the data value is a valid, non-space character</li> <li>The data value may contain characters that exist in the following range of characters: abcdefghijklmnopqrstuvwxyzABCDEFGHIJKLMNOPQRTSUVWXYZ - 1234567890#&amp;()/\:',</li> </ol>				

Field Name	Туре		Column V	Require
Learner Home Address 3	Text			Conditional – QCTO
	2. The dat abcdefg	t character of the a value may conta	ain characters tha	lid, non-space character t exist in the following range of characters: KLMNOPQRTSUVWXYZ -

Field Name	Туре		Column W	Require
Learner Postal Address 1	Text			Yes – QCTO
	availabl 2. The first 3. The dat	tal address of the e or the home add t character of the a value may only	dress can be repe data value is a va contain character	I be used if the postal address of the learner is not eated in this column. Ilid, non-space character rs that exist in the following range of characters: JKLMNOPQRTSUVWXYZ -1234567890#&()/\:',

Field Name	Туре		Column X	Require		
Learner Postal Address 2	Text			Yes – QCTO		
	Conditions for	r compliance				
	<ol> <li>The postal address of the provider may will be used if the postal address of the learner is not available.</li> <li>The first character of the data value is a valid, non-space character</li> <li>The data value may only contain characters that exist in the following range of characters: abcdefghijklmnopqrstuvwxyzABCDEFGHIJKLMNOPQRTSUVWXYZ -1234567890#&amp;()∧:',</li> </ol>					

Field Name	Туре		Column Y	Require
Learner Postal Address 3	Text			Conditional - QCTO
	available. 2. The first c 3. The data	l address of the p haracter of the da value may only co	ata value is a valid ontain characters t	he used if the postal address of the learner is not I, non-space character that exist in the following range of characters: LMNOPQRTSUVWXYZ -1234567890#&()∆:',

Field Name	Туре		Column Z	Require
Learner Home Address Postal Code	Text			Yes – QCTO
	must hav leading z	character of the over the contracter of the over the contracters the contracters the contracters (0) a value will contain	erefore it is crucia	lid, non-space character. Home address Postal code al to ensure that your data is entered as TEXT to allow for exist in the following range of characters:

Field Name	Туре		Column AA	Require
Learner Postal Address Post Code	Text			Yes – QCTO
	characte zero's (0	character of the c rs therefore it is c ) value will contair	rucial to ensure th	id, non-space character Postal code must have 4 hat your data is entered as TEXT to allow for leading exist in the following range of characters:

Field Name	Туре		Column AB	Require
Learner Phone Number	Text			No
		character of the of value will contain		id, non-space character exist in the following range of characters:

Field Name	Туре		Column AC	Require
Learner Cell Phone Number	Text			No
		character of the c value will contain		id, non-space character exist in the following range of characters:

Field Name	Туре		Column AD	Require
Learner Fax Number	Text			No
	2. The d	rst character of th		valid, non-space character at exist in the following range of characters:

Field Name	Туре		Column AE	Require
Learner Email Address	Text			No
	<ol> <li>The e-n</li> <li>The dat</li> </ol>	t character of the nail address will c a value may cont	ontain the @ char ain characters tha	on-space character racter. at exist in the following range of characters: JKLMNOPQRTSUVWXYZ1234567890<> -@

Field Name	Туре		Column AF	Require
Province Code	Text			Yes – QCTO
Allowed codes	Conditions for	<u>compliance</u>		
<ol> <li>1 =Western Cape</li> <li>2 =Eastern Cape</li> <li>3 =Northern Cape</li> <li>4 =Free State</li> <li>5 =Kwazulu Natal</li> <li>6 =North West</li> <li>7 =Gauteng</li> <li>8 =Mpumalanga</li> <li>9 =Limpopo</li> <li>N =SA National (i.e. in SA but province unspecified)</li> <li>X =Outside SA</li> </ol>	1. The firs	t character of the	data is a valid, no	on-space character

Field Name	Туре		Column AG	Require
STATSSA Area Code	Text			Yes – QCTO
	2. The coo 3. The Sta	t character of the le is selected fror ts SA Area code	n the code list pro	on-space character ovided by STATSSA using the Home Address of the learner and will reflect ed in column AG.

Field Name	Туре	Column AH	Require
POPI Act Agree	Text		Yes – QCTO
Yes No	Conditions for 1. The firs	 data is a valid, no	on-space character

Field Name	Туре		Column Al	Require
POPI Act Date	Text			Yes – QCTO
	2. The date	character of the d provided will be i	n <b>YYYYMMDD</b> fo	-space character rmat Act Date field is blank

Field Name	Туре		Column AJ	Require
Expected Training Completion Date	Text			Yes - QCTO
The date that the training is envisaged to be completed	This is a require 1. The first 2. Date for	ed field. character of the mat will be YYY)		-space character d and cannot contain / - or .

Field Name	Туре		Column AK	Require	
Statement of Results Status	Text			Yes - QCTO	
Allowed Codes 01 = Statement of Results issued	<u>Conditions for compliance</u> 1. The first character of the data is a valid, non-space character				
02 = Statement of Results not yet issued	<ol> <li>If code 01 statement of results issued is indicated all modules as indicated above will have a competent indicator</li> <li>If code 02 statement of results not yet issued is indicated modules above will have a competent or not yet competent indicator</li> </ol>				

4. Where the SOR is not yet issued (status code 02) the SOR date should be left blank

Field Name	Туре		Column AL	Require	
Statement of Results Issue Date	Text			Conditional - QCTO	
	<u>Conditions for compliance</u> The date must be provided when the learner is found competent in all the modules of the qualification.				
	<ol> <li>The first character of the data is a valid, non-space character</li> <li>The date format will be in an YYYYMMDD format at the point where the SOR is issued and is then a required field. Please note that this is not a date format field and cannot contain / - or .</li> <li>It should be noted that the Statement of Results issue date cannot be greater than the EISA date as this ensures access to the EISA and confirmation of compliance for entry</li> <li>Where the SOR status is (01) the date must be entered</li> <li>When the SOR date is entered, the previous Column (AL) must be changed to (01) if (02) had been entered</li> </ol>				

Field Name	Туре		Column AM	Require
Assessment Centre Code	Text			Conditional – QCTO
Assessment Centre Code refers to the accreditation number given to the assessment centre where the learner will be assessed at for the EISA	1. Each re 2. The first	ecord will be asso at character of the	data is a valid, no	sessment Centre Code. on-space character R information the assessment centre code is a required field

Field Name	Туре		Column AN	Require
Learner Readiness for EISA Type Id	Text			Yes - QCTO
Allowed Codes 1 = Enrolled 2 = RPL for Access to EISA determined by SDP 3 = Mixed Mode to EISA 4 = SDP Training and assessment for readiness to EISA 5 = SDP e-learning training and assessment for readiness to EISA 6 = RPL for Access to EISA determined by Assessment Partner/Quality Partner	<ol> <li>If the lea be blank</li> <li>If any oth</li> </ol>	a valid code selec rner is in enrolled		provided OR status will reflect code (02) and the SOR issue date will the SOR issue status should reflect (01) and the SOR

Field Name	Туре		Column AO	Require	
FLC	Text			Yes - SDP	
01 = FLC certificate (competent)	Conditions for compliance				
02 = RPL	This field must contain data 1. The first character of the data value must be a valid, non-space character				

03 = Grade 12/NCV –Level 4	2. Only valid code indicators will be allowed.
Mathematics(ML)/English with	
Mathematics/Mathematical	
Literacy, English HL/FAL or	
First or Second Language	
pass	
04 = Not yet competent	
05 = FLC not completed yet	
06 = Not applicable	
(qualification on NQF 5 and	
above)	
07 = Enrolled for FLC	
08 = N3 Mathematics and	
Business Language	

Field Name	Туре		Column AP	Require	
FLCStatementofresultnumber	Text			Yes - SDP	
	Conditions for compliance				
	Conditions for compliance The following may be supplied 1. NSC/SC or NCV Certificate number or N3 Certificate Number 2. FLC Statement of Results Number 3. RPL – provide code RPLYYYYMMDD (Where RPL is done to indicate recognition for the FLC requirements, then the number supplied should be RPL followed by the date of RPL assessment)				

Field Name	Туре		Column AQ	Require	
Date Stamp	Text			Yes – QCTO	
Date stamp refers to the last date this record was last updated	Conditions for compliance				

## **END OF DOCUMENT**